

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: 01 March 2000

For: METHOD AND APPARATUS FOR TRADING
SECURITIES OR OTHER INSTRUMENTS ON
BEHALF OF CUSTOMERS

Group Art Unit: 3624

Examiner: KARMIS, Stefanos

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450


COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

In response to the Examiner's statement of reasons for allowance, Applicants respectfully traverse the Examiner's characterization of the Breen reference (U.S. Pat. No. 6,615,188), and refer to the arguments previously submitted for completeness (see, e.g., Response To Office Action filed February 22, 2005).

Respectfully submitted,

Dated: March 6, 2006


Bradley J. Meier
(Reg. No. 44,236)

KENYON & KENYON LLP
1500 K Street, N.W., Suite 700
Washington, D.C. 20005
(202) 220 - 4200 (telephone)
(202) 220 - 4201 (facsimile)



PATENT

Docket No.: 10392/46701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: 01 March 2000

For: METHOD AND APPARATUS FOR TRADING
SECURITIES OR OTHER INSTRUMENTS ON BEHALF OF
CUSTOMERS

Group Art Unit: 3624

Examiner: KARMIS, Stefanos

Mail Station Issue Fee - DRAWING PROCESSING BRANCH
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Chief Draftsperson


SUBMISSION OF CORRECTED FORMAL DRAWINGS

S I R:

In response to the requirements contained in the Notice of Allowability mailed 07 December 2005, submitted herewith are three (3) sheets of formal drawings. Please substitute these sheets for the drawings submitted at the time of filing this application.

Respectfully submitted,

Dated: 07 March 2006


Bradley J. Meier
(Reg. No. 44,236)

KENYON & KENYON LLP
1500 K Street, N.W., Suite 700
Washington, D.C. 20005
(202) 220 - 4200 (telephone)
(202) 220 - 4201 (facsimile)